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ABSTRACT

This bibliography was compiled for the purpose of identifying and reviewing literature relevant to the topic: "Utilization and Dissemination in all Fields of Knowledge." The six sections of the bibliography are: I. Explanatory Notes; II. Procedures Used to Establish Relevance for Inclusion; III. Major References Screened; IV. The Research Staff; V. Bibliography (Items entered during original literature review, 1967-1969. Listed alphabetically); and VI. Supplement (Items entered after 1972. Listed alphabetically). (DB)



BIBLIOGRAPHY

on

KNOWLEDGE UTILIZATION AND DISSEMINATION

Ronald G. Havelock

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I. EXPLANATORY NOTES

Accession Numbers:

Each reference is identified by a five digit accession number which appears as the first item in the line immediately following each reference. The author's name and the accession number are used to identify citations in the main report.

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- DA A photo copy of the Dissertation Abstract was obtained.
- SA A photo copy of the Sociological Abstract was obtained.
- PA A photo copy of the Psychological Abstract was obtained.
- AA A photo copy of the Author's Abstract was obtained.
- AAM A modified copy of the Author's Abstract was obtained.

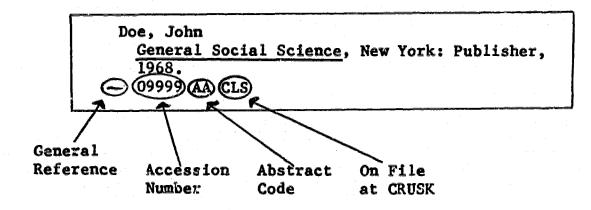
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II. PROCEDURES USED TO ESTABLISH RELEVANCE FOR INCLUSION

A. Instructions to Searchers and Initial Training

The project began with a series of meetings at which a definition of the project goals, limits and areas of focus were spelled out and agreed on by the staff as a whole. Prior to this time staff members had read the project proposal and two background documents (Havelock and Benne: AN EXPLORATORY STUDY OF KNOWLEDGE UTILIZATION, CRUSK #3872, and Rogers, E.M., DIFFUSION OF INNOVATIONS, CRUSK #01824). These documents laid out the basic variables related to utilization and/or dissemination.

The searchers were also provided with additional materials specifically designed to define the relevant topic areas and key concepts. These included: (1) a list of "Key Terms"; (2) a list of "Concepts Related to Utilization" ordered schematically to correspond to stages in the movement of knowledge from conception to consum tion"; and (3) a search guide in the form of a preliminary outline or schematization of the topic area viewed first as a social communication system and second as an interpersonal process. These documents are included in the following pages.

B. Key Terms Used in Initial Index Search

(Only articles which stated or implied one of the following phrases were included)

Acceptance Adaptation Adoption Application Assimilation Communication Diffusion Dissemination Distribution Exchange Flow Reception Rejection Retrieval Transfer Transmission Utilization

of

Innovations
New Knowledge
New Practices
New Products
Research
Scientific Information
Scientific Knowledge
Technology

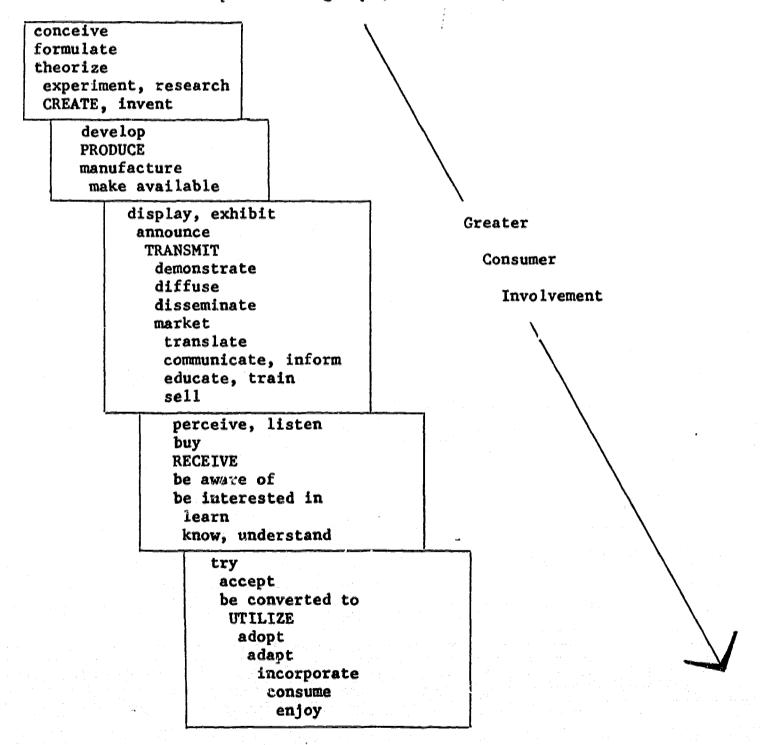




C. Concepts Related to Utilization

Below are listed a sequence of processes which could be related to dissemination and utilization. They are ordered into five groups according to the directness or immediacy of their relevance to the receiver's internal processes of utilization and consumption. Emphasis in the bibliography is directed toward the middle and lower end of this sequence. It is felt that the various processes related to creation and production of knowledge are adequately covered in other sources.

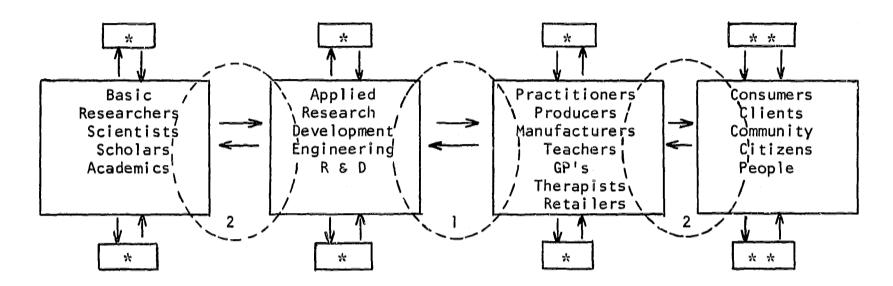
It should also be noted that terms related to transmission are thought of as processes going on outside the receiver in which the actor is an outside person or agency (the "sender").





D. Literature Search Guide

- 1. Dissemination and Utilization Viewed as a System
 - a. Knowledge Flow Systems: Patterns, Networks, Structures, Chains, Groups, Interfaces, Relations



Key to Flow System Diagram:

- * Intradisciplinary and interdisciplinary contacts.
- ** Other consumers, friends, acquaintances, neighbors, opinion leaders.
- Area of primary relevance and emphasis.
- (2) Areas of secondary relevance.

Not pictured but also relevant are direct linkages between basic research and practice and between researchers and consumers. It is recognized that actual organizational forms will not necessarily correspond to the idealized flow system pictured. Various organizations and roles may exsist within or between each of the four entities represented here.

b. Support Systems for Knowledge Flow

Any social systems or institutions which support or limit know-ledge flow through these functions:

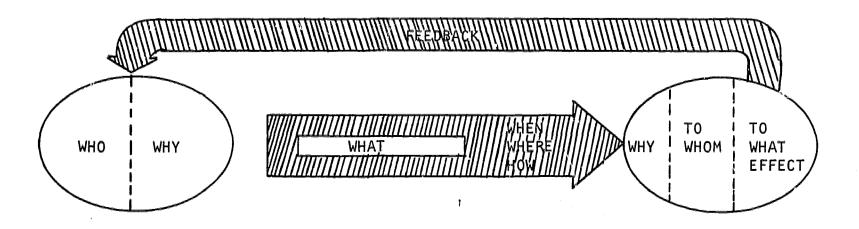
- a) Funding: financial support, grants, contracts, profits
- b) Training: educating to fill knowledge flow roles
- c) Recruiting: staffing for knowledge flow roles
- d) Controlling: coordinating, goal setting, decision making, leading
- e) Protecting: defending the rights and interests of established groups
- f) Planning: planning changes, predicting future needs, stimulating growth

References on organizations, bureaucracies and institutional structures, arrangements, and functions such as those listed above were included in the bibliography only if such issues were discussed within the context of the flow of new knowledge from research to practice.



D. Literature Search Guide, continued

2. Dissemination and Utilization Viewed as a Process



Fields:

Law

etc.

Education

Technology

Agriculture

Public Health

Mental Health

Social Welfare

Medicine

WHO:

Communicator

Speaker Initiator

Sender

TO WHOM: Receiver

Listener Audience

WHAT:

Knowledge New Knowledge

Research

Scientific Research Scientific Knowledge

Products
Information
Innovations
Practices
New Practices

Data

WHEN: Timing

Stages
Phase
Duration
How Long
How Long Ago
Sequence

WHERE:

Setting Context

Geographic Location

Area Distance

HOW:

Mechanisms Strategies

Ways Means

Methods

Channels Medium Informal Contacts

Conferences
Courses
Workshops
Seminars
Publications
Mass Media

WHY:

Motivation Drive

Resistance Interest Initiative

Need

TO WHAT EFFECT:

Result Criterion Effectiveness

Criterion Feedback Dependent Variable

Improvement Success-Failure

III. MAJOR REFERENCES SCREENED FOR THE BIBLIOGRAPHY

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IV. THE RESEARCH STAFF

The following people participated in the search activities which led to the compilation of this bibliography.

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